

**ROUTING AND TRANSMITTAL SLIP**

Date

10-18-85

TO: (Name, office symbol, room number, building, Agency/Post)	Initials	Date
1. <i>C/ POLICY</i>		
2.		
3.		
4.		
5.		

Action	File	Note and Return
Approval	For Clearance	Per Conversation
As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

STAT

*wants this written up to charge the reg. when that goes in to D/O for signature he can decide if he wants to forward it.*

*Use the language you used in Para 5 of your memo. I don't think a lot of explanation is required - just memo to RPD with appropriate*

STAT? ink sheet cover.

*Forget my pink sheet comment*

**DO NOT use this form as a RECORD of approvals, concurrences, disposals, clearances, and similar actions**

FROM: (Name, org. symbol, Agency/Post)

Room No.—Bldg.

*C/PPG*

Phone No.

FORM 1-102

OPTIONAL FORM 41 (Rev. 7-76)

C O N F I D E N T I A L

## ROUTING AND RECORD SHEET

SUBJECT: (Optional)

Delegation of Disapproval Authority

FROM:

Chief, Policy Branch/PPG

EXTENSION

NO.

DATE

11 October 1985

TO: (Officer designation, room number, and building)

DATE

RECEIVED

FORWARDED

OFFICER'S INITIALS

COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)

1.

C/PPG

10-15-85

mlw

2.

3.

DD/P&amp;M

10/15

10/16

4.

C/PPG

5.

~~DD/S~~

6.

7.

~~D/S~~

8.

9.

10.

11.

12.

13.

14.

15.

1 to 3:

If the D/S wishes to delegate disapproval authority for the four types of cases, PPG will prepare a formal proposed regulation change for forwarding to the DDA. If approved by the DDA, an internal OS memorandum similar to attachment #, would then be required.

My recommendation is to place more emphasis on the importance of the disapproval decision and less on latitude by delegating only to the Deputy Director of Security. In that event, both regulation revisions could read "only the Director of Security or the Deputy Director of Security may..."

FORM 1-79

610

USE PREVIOUS EDITIONS

C O N F I D E N T I A L

C O N F I D E N T I A L

10 October 1985

MEMORANDUM FOR: Chief, Policy and Plans Group

25X1 FROM:

[redacted]  
Chief, Policy Branch/PPG

SUBJECT: Delegation of Disapproval Authority

25X1 REFERENCE:

Memorandum from [redacted]  
dated 30 August 1985; same subject [redacted]

25X1 1. In reponse to your instructions, the undersigned  
continued the research begun by [redacted] as reported in  
25X1 reference. My review was narrowed to the issue of delegation  
of authority for security disapprovals. [redacted]

2. The basics are quite clear: The Director of Security  
may delegate authority to subordinates except when prohibited  
from doing so either by specific proscription or by the  
presence of a conflicting regulation. Delegation which is not  
proscribed or in conflict with regulation must be made in  
writing, either by the Director of Security or by other  
25X1 memorandum carrying his concurring signature. [redacted]

3. At the present time, the Director of Security has  
retained sole disapproval authority only for the following  
types of cases:

Staff Applicant  
Contract Internal  
Industrial Security Staff Approval/TS  
25X1 Consultants/Panelists [redacted]

4. The above delegation was accomplished by a Director of  
Security memorandum dated 2 May 1983 (Reference attachment 4).  
The concomitant responsibilities of the Director of Security  
under DCID 1/14 were brought in line with the above by Director  
of Central Intelligence memorandum dated 27 June 1983  
(attachment A). In essence, the Director of Security retained  
sole disapproval authority for all staff-like cases and did not  
preclude the subordinate's option of deferring disapproval  
authority to the Director of Security in any specific case

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except those involving denial or revocation of access to SCI. In the latter event, the Director of Security is the initial appeal authority; as such, he should not also be the disapproving officer (I could find no written reference to this caveat but obtained a firm verbal opinion from DC/ALD/OGC). [redacted]

5. If the Director of Security wishes to delegate disapproval authority for those categories of cases listed in paragraph 3 above [redacted] must be revised. The following replacements for the fourth sentence of [redacted] and the entire [redacted] are offered as likely to accomplish the ability to delegate in such cases:

Only the Director of Security or a designated senior official may make a final determination that an individual is not acceptable on security grounds for employment by or assignment to the CIA.

Only the Director of Security or a designated senior official may disapprove, from a security standpoint, the continued employment or utilization of an individual by the Agency on the basis of an Agency reinvestigation. [redacted]

6. The above language was used in a 1983 study of this same issue. I chose to retain the use of the phrase, "... or designated senior official" as it provides the necessary latitude while retaining emphasis on the importance of the disapproval decision and the high level of review which is brought to bear. The distinction between initial versus continued access in SCI cases was accommodated in the DCI's 1983 memorandum; no additional fix is needed. [redacted]

C O N F I D E N T I A L